MP20Rec'd PCT/PTO 04 APR 2006

Sheet <u>1</u> of <u>1</u>

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE	Attorney Docket No.	50125/084002
(MODIFIED)	PATENT AND TRADEMARK OFFICE	Serial No.	M & 3 4 4 2 2
INFORMATI	ON DISCLOSURE	Applicant	Eggert Stockfleth
STATEMEN	T BY APPLICANT sheets if necessary)	Filing Date	April 4, 2006
(OSE SEVERAL	inects in necessary;	Group	
(37 C.F.R. § 1.98(b))		IDS Filed	April 4, 2006

U.S. PATENT DOCUMENTS								
Examiner's Initials	Document Number		ication Date	Patentee or Applicant		Class	Subclass	Filing Date (If Appropriate)
/QM/	6,096,359	08/0	1/00	Bomban	delli et al.			
/QM/	4,913,909	04/0	3/90	Hara et	al.			
/QM/	4,673,530	06/1	6/87	Hara				
/QM/	4,613,672	09/2	3/86	Hara				
/QM/	4,248,789	02/0	3/81	Okada				
	FORE	IGN P	ATENT	OR PUBLI	SHED FOREIGN PATENT A	PPLICATION	ON	
Examiner's Initials	Document Number		Publica Dat		Country or Patent Office	Class	Subclass	Translation (Yes/No)
/QM/	WO 2004/053097	7 A2 06/24/04)4	PCT			
/QM/	WO 2004/026323	4/026323 A1 04/01/0)4	PCT			
/QM/	WO 2001/51048	A	07/19/0)1	PCT			
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)								
/QM/	Cao, "Angiogenesis Inhibited By Drinking Tea," Nature, Macmillan Journals Ltd. 398:381 (1999).							
/QM/	Linden et al., "Chemoprevention of Non-Melanoma Skin Cancer: Experience With a Polyphenol From Green Tea," European Journal of Cancer 38:S24 (2002).							
/QM/	Linden et al., "Epigallocatechin Gallate in the Chemoprevention of Non-Melanoma Skin Cancer, With Biomarker Studies of Actinic Keratoses, Sun-Damaged, and Non Sun Damaged Skin: Results of Clinical Trial," Frontiers of in Cancer Prevention Research A113, p. 29 (2003).							
/QM/	McCarty, "Polyphenol-Mediated Inhibition of AP-1 Transactivating Activity May Slow Cancer Growth by Impeding Angiogenesis and Tumor Invasiveness," Medical Hypothesis 50:511-514 (1998).							
/QM/	Mukhtar et al., "Green Tea Polyphenols Induce Apoptoseis and Alter the Progression fo Cell Cycle in Human Epidermoid Carcinoma Cells," <i>Proceedinsg of the American Association for Cancer Research and American Society of Clinical Oncology</i> 38:580 (1997).							
/QM/					gallocatechin Gallate, a Promences 91:111-116 (2002).	ising Antic	xidant for To	pical Skin Cancer
/QM/					eversal Agent for Carcinoma Medicine and Biology 28:735-			sistance (Study

EXAMINER	/Qiuwen Mi/	DATE CONSIDERED	06/11/2007			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.						

ON E 400 M

Sheet <u>1</u> of <u>1</u>

Attorney Docket No. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE **SUBSTITUTE FORM PTO-1449** 50125/084002 (MODIFIED) Serial No. 10/574,422 **Applicant** Stockfleth INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date November 7, 2006 (Use several sheets if necessary) Group 1655 (37 C.F.R. § 1.98(b)) April 26, 2007 **IDS Filed**

Examiner's Initials	Document					
	Number	Publication Date	Patentee or Applicant	Class	Subclass	Filing Date (If Appropriate)
/QM/	2003/0166583	09/04/03	Yoa-Pu Hu et al.			
	FOR	EIGN PATENT	OR PUBLISHED FOREIGN PATENT	APPLICATION	ON	<u> </u>
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subdass	Translation (Yes/No)
	OTHER DOC	JMENTS (INCL	.UDING AUTHOR, TITLE, DATE, PL	ACE OF PUB	LICATION)	•
Τ	······································					

EXAMINER	/Qiuwen Mi/	DATE CONSIDERED	06/11/2007			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include conv of this						

form with the next communication to applicant.

Sheet 1 of 1

Attorney Docket No. 50125/084002

Serial No. 10/574,422

Applicant Eggert Stockfleth

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)

Attorney Docket No. 50125/084002

Serial No. 10/574,422

Applicant Eggert Stockfleth

Filing Date April 4, 2006

Group

(37 C.F.R. § 1.98(b))

IDS Filed November 1, 2006

	U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Patentee or Applicant	Class *	Subclass	Filing Date (If Appropriate)	
/QM/	4,613,672	09/23/86	Hara				
/QM/	4,673,530	6/16/87	Hara				
/QM/	4,913,909	4/3/90	Hara et al.		· · · · · · · · · · · · · · · · · · ·		
/QM/	5,104,901	4/14/92	Shimamura et al.				
/QM/	5,135,957	8/4/92	Shimamura				
/QM/	5,137,922	8/11/92	Shimamura et al.				
/QM/	5,204,089	4/20/93	Hara et al.				
/QM/	5,318,986	6/7/94	Hara et al.				
/QM/	5,358,713	10/25/94	Shimamura				
/QM/	5,470,565	11/28/95	Hayakawa et al.				
/QM/	5,670,154	9/23/97	Hara et al.	1	-		
/QM/	5,747,053	5/5/98	Nashimoto et al.				
/QM/	5,766,595	6/16/98	Yamane et al.				
/QM/	5,795,911	8/18/98	Cheng et al.			-	
/QM/	5,804,567	9/8/98	Cheng et al.				
/QM/	5,807,564	9/15/98	Shimamura et al.			·	
/QM/	5,888,527	3/30/99	Nashimoto et al.			· · · · · · · · · · · · · · · · · · ·	
/QM/	5,968,973	10/19/99	Cheng et al.	1			
/QM/	6,197,808	03/06/01	Cheng et al.				
/QM/	6,248,346	6/19/01	Hara et al.				
/QM/	2002/0006447	01/17/02	Yamazaki et al.				

EXAMINER	/Qiuwen Mi/	DATE CONSIDERED	06/11/2007	
		<u> </u>	**************************************	

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.

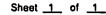


Sheet _1_ of _1_

Attorney Docket No. SUBSTITUTE FORM PTQ-1449 (MODIFIED) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 50125/084002 Serial No. 10/574,422 **Applicant** Stockfleth INFORMATION DISCLOSURE Filing Date STATEMENT BY APPLICANT April 4, 2006 (Use several sheets if necessary) Group Not yet assigned (37 C.F.R. § 1.98(b)) **IDS Filed** August 31, 2006

			U.S. PATENT DOCUMENTS			
Examiner's Initials	Document Number	Publication Date	Patentee or Applicant	Class	Subclass	Filing Date (If Appropriate)
/QM/	5,910,308	06/1999	Arthur H. K. D'Jang			
/QM/	2004/0181130	09/2004	Miller et al.			
	FOR	EIGN PATENT	OR PUBLISHED FOREIGN PATENT	APPLICATION	ON	
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
,						
	OTHER DOC	UMENTS (INCL	UDING AUTHOR, TITLE, DATE, PL	ACE OF PUB	LICATION)	
			of Nonmelanoma Skin Cancer: Exprch 163:165-171 (2003).	erience with	Polyphenoi	from Green Tea,
,	T					

EXAMINER	/Qiuwen Mi/	DATE CONSIDERED	06/11/2007
			





Attorney Docket No. **SUBSTITUTE FORM PTO-1449** U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 50125/084002 (MODIFIED) Serial No. 10/574,422 **Applicant** Stockfleth INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date April 4, 2006 (Use several sheets if necessary) Group Not yet assigned (37 C.F.R. § 1.98(b)) **IDS Filed** May 4, 2006

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Patentee or Applicant	Class	Subclass	Filing Date (If Appropriate)
/QM/	2003/166583	09/04/03	Yoa-Pu Hu et al.			
	FOR	EIGN PATENT	OR PUBLISHED FOREIGN PATEN	F APPLICATION	DN ON	
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
					<u> </u>	
	OTHER DOC	UMENTS (INCI	LUDING AUTHOR, TITLE, DATE, PL	ACE OF PUB	LICATION)	<u></u> .
•						
				-		
				·		
-						
						

EXAMINER	/Qiuwen Mi/	DATE CONSIDERED	06/11/2007

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.